PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pau et al.

Serial No.: 09/449,854

Filed: November 26, 1999

For: PRODUCTION OF VACCINES

Examiner: M. Hill

Group Art Unit: 1648

Attorney Docket No.: 2578-4240US

BUDAPEST TREATY DECLARATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir.

- I, Ronald Brus, hereby certify that I am the Chief Executive Officer of Crucell Holland BV ("Crucell") and declare that:
- 1. I am informed and believe that Crucell is the assignee of U.S. patent application serial no. 09/449,854.
- 2. I am informed and believe that Crucell made a deposit of the PER.C6 cell line, under ECACC deposit number 96022940 under the provisions of the Budapest Treaty with the Centre for Applied Microbiology and Research Authority (European Collection of Animal Cell Cultures), Porton Down, Salisbury, Wiltshire SP4, OJG, United Kingdom, an International Depository Authority, on February 29, 1996.

Serial No.: 09/449,854

- 3. On behalf of Crucell, I state that all restrictions upon public access to the deposit (except those permitted by 37 C.F.R. § 1.808(b)) will be irrevocably removed upon the grant of a U.S. patent on this U.S. patent application, and the deposit will be replaced if viable samples cannot be dispensed by the depository.
- I am informed and believe that the deposit was accepted by the International Depository Authority, effective at least as early as February 29, 1996.
- 5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the U.S. Code and that such willful false statements may jeopardize the validity of the patent.

Date: 24 - 05 - 25

Dr. Ronald Brus





Centre for Applied Microbiology and Research **European Collection of Cell Cultures**

This document certifies that Cell Culture (Deposit Ref. 96022940) has been accepted as a patent deposit, in accordance with The Budapest Treaty of 1977, with the European Collection of Cell Cultures on 29TH February 1996

Dr P J Packer Quality Manager, ECACC

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

TO

INTERNATIONAL FORM

CRUCELL HOLLAND B.V. ARCHIMEDESWEG 4 PO BOX 2048 2301 CA LEIDEN THE NETHERLANDS

NAME AND ADDRESS OF DEPOSITOR

| I. IDENTIFICATION OF THE MICROORGANISM | · | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| Identification reference given by the DEPOSITOR: PER C6 | Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY: 96022940 | | | | | | | |
| | | | | | | | | |
| II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC | I. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION | | | | | | | |
| The microorganism identified under I above was accompanied by: | | | | | | | | |
| X A scientific description | | | | | | | | |
| A proposed taxonomic designation | | | | | | | | |
| (Mark with a cross where applicable) | | | | | | | | |
| III. RECEIPT AND ACCEPTANCE | | | | | | | | |
| This International Depository Authority accepts the microorganism identified under I above, which was received by it on 29^{th} February 1996 (date of the original deposit) ¹ | | | | | | | | |
| IV. RECEIPT OF REQUEST FOR CONVERSION | | | | | | | | |
| The microorganism identified under I above was received by this International Depository Authority on (date of the original deposit) and A request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of receipt of request for conversion) | | | | | | | | |
| IV. INTERNATIONAL DEPOSITORY AUTHORITY | | | | | | | | |
| to re | ture(s) of person(s) having the power present the International Depository | | | | | | | |
| Address: ECACC | Authority or of authorized officials(s): Date: 26/2/01 F5 Packed | | | | | | | |

Where Rule 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired

APPENDIX 3

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BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

TO

CRUCELL HOLLAND B.V. ARCHIMEDESWEG 4 PO BOX 2048 2301 CA LEIDEN THE NETHERLANDS VIABILITY STATEMENT
Issued pursant to Rule 10.2 by the
INTERNATIONAL DEPOSITARY AUTHORITY
identified on the following page

NAME AND ADDRESS OF THE PARTY
TO WHOM THE VIABILITY OF STATEMENT
IS ISSUED

| I. DEI | POSITOR | II. IDENTIFICATION OF THE MICROORGANISM | | | | | |
|--|--|--|--|--|--|--|--|
| Name: | CRUCELL HOLLAND B.V. | Accession number given by the INTERNATIONAL DEPOSITORY AUTHORITY: | | | | | |
| Address: | ARCHIMEDESWEG 4 PO BOX 2048 2301 CA LEIDEN THE NETHERLANDS | 96022940 Date of the deposit or of the transfer: 29th February 1996 | | | | | |
| II. VIABILITY STATEMENT | | | | | | | |
| The viability of the microorganism identified under II above was tested on ² . On that date, the said microorganism was | | | | | | | |
| X | viable | | | | | | |
| 3 | no longer viable | · . | | | | | |

- Indicate the date of the original deposit or, where a new deposit or a transfer has been made, the most relevant date (date of the new deposit or date of the transfer).
- In the cases referred to in Rule 10.2 (a) (ii) and (iii), refer to the most recent viability test.
- 3 Mark with a cross the applicable box.

Appendix 3

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| IV. | CONDITIONS | UNDER WH | ICH THE | VIABILITY | TEST | HAS | BEEN | PERFORMED | 4 |
|--------|------------|----------------------|---------|-----------|---------------|-----|------|-------------|---|
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| | • | | | | | | | | |
| v. | INTERNATIO | ONAI. DEPOS | TTARY A | UTHORITY | . | | | | |
| · · · | | | | | | | | | |
| Name: | EC | P J Pa CACC CAM | R | | | | Sign | ature(s) of | f person(s) having the power ne International Depositary |
| Addres | Sa | orton Do alisbury | | | | | Auth | ority or of | authorized official(s): |
| | | iltshire 94 OJG | | | | | Date | : 26/2lo | " Islade |

⁴ Fill in if the information has been requested and if the results of the test were negative.